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**DOCKET NO: 10168-7081.19** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant:

Frederick Wilkins, et al.

erial No:

10/712,162

Confirmation No:

8203

Filed:

November 13, 2003

For:

WATER TREATMENT SYSTEM AND METHOD

Examiner:

Not yet assigned

Art Unit:

1724

### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450, on the /9 day of May, 2005.

Jeanne W. Chub

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement, Form PTO-1449 and Cited References
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/0214. A duplicate of this sheet is enclosed.

Respectfully submitted,

Frederick Wilkins, et al., Applicants

By:

Peter C. Lando, Reg. No. 34,654 Elias Domingo, Reg. No. 52,827

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Cambridge, MA 02142

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Date: May 19, 2005



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Jeanne W. Chub

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant brings to the attention of the examiner the following co-pending applications:

Serial No.	Filing Date	Title	<b>Inventors</b>
10/856,264	05/27/04	Water Treatment System and Process	Wilkins et al.
10/845,782	05/13/04	Electrodeionization Device and Methods	Arba et al.
10/278,714	10/23/02	Production of Water for Injection Using	Wood et al.
10/272,356	10/15/02	Apparatus for Fluid Purification	Liang et al.

The Applicant encloses a copy of an International Search Report and a copy of Written Opinion of the International Search Authority in a corresponding international application, International Application No. PCT/US2004/037940.

# PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

DE 3238280 appears to be directed to a process for desalting solutions. An English Abstract is provided.

DE 4016000 appears to be directed to ion exchange apparatus for treating liquid, especially for metal recovery, with exchanger regeneration by electro-dialysis. An English language abstract is provided.

JP 2005007347 is directed to electrodialysis-type water-purification apparatus. An English language abstract is provided.

JP 2005007348 is directed to an apparatus for electric deionization of water manufactured by less assembling steps. An English language abstract is provided.

#### PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

763826.1

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Frederick Wilkins, et al., Applicants

Bv:

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Elias Domingo, Reg. No. 52,827

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Docket No. I0168-7081.19 Date: May 10 2005 MAY 2 3 2005 ©

FORM PTO-1449/AS AND B (Modified)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 2

APPLICATION NO.: 10/712,162 ATTY. DOCKET NO.: I0168-7081.19

FILING DATE: November 13, 2003 CONFIRMATION NO.:8203

APPLICANT: Frederick Wilkins, et al.

GROUP ART UNIT: 1724 EXAMINER: Not yet assigned

#### U.S. PATENT DOCUMENTS

D/Million 0	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document	
	No.	Number	Kind Code	Document	MM-DD-YYYY	
	*	US H1206 H		Thibodeax et al.	07/06/1993	
	*	US2002/092769	A1	Garcia Benny et al.	07/18/2002	
	*	US2003/098266	A1	Shiue Lih-Ren et al.	05/29/2003	
	*	US2003/155243	A1	Sferrazza Alois	08/21/2003	
<del></del>	*	US2003/080467	A1	Andrews et al.	05/01/2003	
	*	US2003/089609	A1	Liang Li-Shiang et al.	05/15/2003	
	*	US2004/0079700	A1	Liang et al.	11/30/2004	
<u></u>	*	3,630,378	Α	William C. Bauman	12/28/1971	
	*	4,655,909	Α	Furuno et al.	04/07/1987	
	*	5,558,753	Α	Gallagher et al.	09/24/1996	
	*	5,788,826		Nyberg	08/04/1998	
· · · · · · · · · · · · · · · · · · ·	*	6,056,878	Α	Tessier et al.	05/02/2000	
·····	*	6,187,162	B1	Mir Leon	02/13/2001	
	*	6,274,019	B1	Kuwata Masahiro	08/14/2001	
	*	6,296,751	B1	Mir Leon	10/02/2001	
	*	6,824,662	B2	Liang et al.	11/30/2004	
	*	6,649,037	B2	Liang et al.	11/18/2003	

#### FOREIGN PATENT DOCUMENTS

L'Adminici 5	Cite	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation
		No.	Office/ Country	Number	Kind Code	(not necessary)	MM-DD-YYYY
		DE	3238280	Al		04/19/1984	Abstract Only
		DE	4016000	A1		11/21/1991	Abstract Only
		EP	0170895	A2		02/12/1986	
<u> </u>		EP	0803474	A2		10/29/1997	
		EP	1068901	A2		01/17/2001	
<del></del>		EP	1172145	A2		01/16/2002	
· #		EP	1222954	A1		07/17/2002	
		EP	1506941	A1		02/16/2005	
********		JP	2005007347			0113/2005	Abstract Only
		JР	2005007348			01/13/2005	Abstract Only
		wo	98/17590			04/30/1998	
		wo	98/58727			12/30/1998	
		wo	02/04357	A1		01/17/2002	

FORM PTO-1449/A and B (Modified)	APPLICATION NO.: 10/712,162	ATTY. DOCKET NO.: I0168-7081.19	
INFORMATION DISCLOSURE	FILING DATE: November 13, 2003	CONFIRMATION NO.:8203	
STATEMENT BY APPLICANT	APPLICANT: Frederick Wilkins, et al.		
	GROUP ART UNIT: 1724	EXAMINER: Not yet assigned	
Sheet 2 of 2			

		OTHER ART — NON PATENT LITERATURE DOCUMENTS		
Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item	Translation	
Initials	No	(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),	(Y/N)	
		publisher, city and/or country where published.		
		Yoran Oren et al., "Studies on Polarity Reversal with Continuous Deionization," Desalination, Elsevier	1 1	
		Scientific Publishing Co., Amsterdam, NL, vol. 86, no. 2, June 1, 1992, pp. 155-171.		
		Wood, J.H. et al., "Continuous Electrodeionisation: Module Design Considerations for the Production of High		
		Purity Water," Proc. Of IEX at the Millenium, July 16, 2000, pp. 44-51.		

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>\*</sup>a copy of this reference is not provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.